Truncation Errors Correction Procedures

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Rayleigh-Ritz Example

Subspace iteration

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Outline

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How many eigenvectors?

Modal Partecipation Factor Dynamic magnification factor Static Correction

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RR Example

 $\begin{bmatrix} 2 - 22\rho & 2 - 2\rho \\ 2 - 2\rho & 20 - 25\rho \end{bmatrix} \begin{Bmatrix} z_1 \\ z_2 \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \end{Bmatrix}$ Red. eigenproblem ($\rho = \omega^2 \, m/k$):

The roots are $\rho_1 = 0.0824$, $\rho_2 = 0.800$, the frequencies are $\omega_1 = 0.287 \sqrt{k/m}$ [= 0.285], $\omega_2 = 0.850 \sqrt{k/m}$ [= 0.831], while the k/m normalized exact eigenvalues are [0.08101405, 0.69027853].

The first eigenvalue is estimated with good approximation.

Rayleigh-Ritz Example

The Ritz coordinates eigenvector matrix is $\emph{\textbf{Z}} = \begin{bmatrix} 1.329 & 0.03170 \\ -0.1360 & 1.240 \end{bmatrix}$

The RR eigenvector matrix, Φ and the exact one, Ψ :

$$\boldsymbol{\Phi} = \begin{bmatrix} +0.3338 & -0.6135 \\ +0.6676 & -1.2270 \\ +0.8654 & -0.6008 \\ +1.0632 & +0.0254 \\ +1.1932 & +1.2713 \end{bmatrix}, \qquad \boldsymbol{\Psi} = \begin{bmatrix} +0.3338 & -0.8398 \\ +0.6405 & -1.0999 \\ +0.8954 & -0.6008 \\ +1.0779 & +0.3131 \\ +1.1932 & +1.0108 \end{bmatrix}$$

The accuracy of the estimates for the 1st mode is very good, on the contrary the 2nd mode estimates are in error starting from the second digit.

It may be interesting to use $\hat{\Phi} = \mathbf{K}^{-1} \mathbf{M} \Phi$ as a new Ritz base to get a new estimate of the Ritz and of the structural eigenpairs.

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Introduction to Subspace Iteration

We have seen that the Rayleigh-Ritz procedure can offer a good estimate for $p \approx M/2$ modes, mostly because of the arbitrariness in the choice of the Ritz reduced base Φ . Solving a M=2p order eigenvalue problem to get p eigenvalues is very onerous as the operation count is $O(M^3)$. If we could reduce the arbitrariness in the choice of the Ritz base vectors, we could use less vectors and solve a much smaller (in terms of operations count) eigenvalue problem. If one thinks of it, with a M=1 base we could nevertheless compute within arbitrary accuracy one eigenvector using Matrix Iteration, isn't it? the trick is changing the base at every iteration... It happens that Matrix Iteration can be applied to a set of

trial vectors at once, under the name of Subspace Iteration.

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Lxample

How many eigenvectors?

Statement of the procedure

The first M eigenvalue equations can be written in matrix algebra, in terms of an $N \times M$ matrix of eigenvectors Φ and an $M \times M$ diagonal matrix Λ that collects the eigenvalues

$$K_{N\times N}\Phi = M_{N\times N}\Phi_{N\times M}\Lambda$$

Using again the hat notation for the unnormalized iterate, from the previous equation we can write

$$\mathbf{K}\hat{\mathbf{\Phi}}_1 = \mathbf{M}\mathbf{\Phi}_0$$

where Φ_0 is the matrix, $N \times M$, of the zero order trial vectors, and $\hat{\Phi}_1$ is the matrix of the non-normalized first order trial vectors.

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Orthonormalization

To proceed with iterations,

- 1. the trial vectors in $\hat{\Phi}_{n+1}$ must be orthogonalized, so that each trial vector converges to a different eigenvector instead of collapsing to the first eigenvector,
- 2. all the trial vectors must be normalized, so that the ratio between the normalized vectors and the unnormalized iterated vectors converges to the corresponding eigenvalue.

These operations can be performed in different ways (e.g., ortho-normalization by Gram-Schmidt¹ procedure). Another possibility to do both at once is solving a Rayleigh-Ritz eigenvalue problem, defined in the Ritz base constituted by the vectors in $\widehat{\Phi}_{n+1}$.

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Associated Eigenvalue Problem

Developing the procedure for n = 0, with the generalized matrices

$$\mathbf{K}_{1}^{\star} = \mathbf{\hat{\Phi}}_{1}^{T} \mathbf{K} \mathbf{\hat{\Phi}}_{1}$$

and

$$\mathbf{M}_1^{\star} = \mathbf{\hat{\Phi}}_1^{\ T} \mathbf{M} \mathbf{\hat{\Phi}}_1$$

the Rayleigh-Ritz eigenvalue problem associated with the orthonormalisation of $\widehat{\Phi}_1$ is

$$\mathbf{K}_1^{\star}\hat{\mathbf{Z}}_1 = \mathbf{M}_1^{\star}\hat{\mathbf{Z}}_1\Omega_1^2.$$

After solving for the Ritz coordinates mode shapes, \hat{Z}_1 and the frequencies Ω_1^2 , using any suitable procedure, it is usually convenient to normalize the shapes, so that $\hat{\pmb{Z}}_1^T \pmb{M}_1^* \hat{\pmb{Z}}_1 = \pmb{I}$. The ortho-normalized set of trial vectors at the end of the iteration is then written as

$$\mathbf{\Phi}_1 = \hat{\mathbf{\Phi}}_1 \hat{\mathbf{Z}}_1.$$

The entire process can be repeated for n = 1, then n = 2, $n = \dots$ until the eigenvalues converge within a prescribed tolerance.

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Convergence

In principle, the procedure will converge to all the M lower eigenvalues and eigenvectors of the structural problem, but it was found that the subspace iteration method converges faster to the lower p eigenpairs, those required for dynamic analysis, if there is some additional trial vector; on the other hand, too many additional trial vectors slow down the computation without ulterior benefits.

Experience has shown that the optimal total number M of trial vectors is the minimum of 2p and p + 8.

The subspace iteration method makes it possible to compute simultaneosly a set of eigenpairs within any required level of approximation, and is the preferred method to compute the eigenpairs of a complex dynamic system.

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¹Next week, more on Gram-Schmidt procedure

Standard Form

In algebra textbooks, the eigenproblem is usually stated as

$$\mathbf{A}\mathbf{y} = \lambda \mathbf{y}$$

and all the relevant algorithms to actually compute the eigenthings (Jacobi method, ${\it Q\,R}$ method, etc) are referred to the above statement of the problem.

Our problem is, instead, formulated as

$$K x = \lambda M x$$
.

Any symmetric, definite positive matrix \boldsymbol{B} can be subjected to a unique Choleski Decomposition (CD), $\boldsymbol{B} = \boldsymbol{L} \, \boldsymbol{L}^T$ where \boldsymbol{L} is a lower triangular matrix. Applying CD to \boldsymbol{M} , the eigenvector equation is,

$$Kx = K\underbrace{(L^T)^{-1}L^T}_{I}x = \lambda \underbrace{LL^T}_{M}x.$$

Premultiplying by \mathbf{L}^{-1} , with $\mathbf{y} = \mathbf{L}^T \mathbf{x}$

$$\underbrace{\boldsymbol{L}^{-1}\boldsymbol{K}(\boldsymbol{L}^T)^{-1}}_{\boldsymbol{A}}\underbrace{\boldsymbol{L}^T\boldsymbol{x}}_{\boldsymbol{y}} = \lambda\underbrace{\boldsymbol{L}^{-1}\boldsymbol{L}}_{\boldsymbol{I}}\underbrace{\boldsymbol{L}^T\boldsymbol{x}}_{\boldsymbol{y}} \qquad \rightarrow \qquad \boldsymbol{A}\boldsymbol{y} = \lambda\boldsymbol{y}.$$

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How many eigenvectors?

```
New base, $F M \Phi$
                                                                              Truncation Errors,
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     [ [ 4.1227820967, -1.14422421571 ]
[ 7.91180232647, -1.67513419367 ]
                                                                               Giacomo Boffi
       [ 11.0332988224, -0.97941569612 ]
       [ 13.2894552417, 0.31693946074 ]
                                                                             Rayleigh-Ritz
       [ 14.4824552417, 1.58793946074 ] ]
New reduced problem
7: [ [ 47.611238 - 587.67876 w, 1.567689 w - 0.116250 ]
        [ 1.567689 w - 0.11624996, 5.3711164 - 7.69658111 w ] ]
new roots
6: [0.0810157120078, 0.698200288858]
new frequencies
5: [0.284632591261, 0.835583801218]
new Ritz eigenvectors
4: [[ 1,
                                 2.69725407409e-3 ]
       [ -2.26590129962e-3, 1
```

```
new structural eigenvectors
    [ [ 4.12537479584, -420.095398422 ]
      [ 7.91559801522, -613.139884929 ]
[ 11.0355180817, -352.082547596 ]
      [ 13.2887370882, 130.793943894 ]
      [ 14.4788571276, 603.206919871 ] ]
    modal masses
    [ [ 587.685905597,
                          -6.7e-7
2:
                                      1
      [ -6.7e-7, 1057348.42653 ] ]
normalized eigenvectors
    [ [ 0.17018684073, -0.408544160009 ]
      [ 0.326541114856, -0.596280621808 ]
     [ 0.455230255778, -0.342401687179 ]
      [ 0.548160413554, 0.127197063132 ]
      [ 0.597237738245, 0.586620048389 ] ]
```

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How many eigenvectors?

Modal Partecipation Factor

Dynamic magnification factor

Static Correction

Introduction

To understand how many eigenvectors we have to use in a dynamic analysis, we must consider two aspects, the loading shape and the excitation frequency.

In the following, we'll consider *only* external loadings whose dependance on time and space can be separated, as in

$$p(x,t) = r f(t),$$

so that we can discuss separately the two aspects of the problem.

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Introduction

It is worth noting that earthquake loadings are precisely of this type:

$$p(x,t) = M\tilde{r} \ddot{u}_{g}$$

where the vector $\tilde{\boldsymbol{r}}$ is used to choose the structural dof's that are *excited* by the ground motion component under consideration. Usually $\tilde{\boldsymbol{r}}$ is simply a vector of zeros and ones. Multiplication of \boldsymbol{M} by g (the acceleration of gravity) and division of $\ddot{\boldsymbol{u}}_g$ by g, serves to show a dimensional load vector

$$egin{aligned} oldsymbol{p}(\mathbf{x},t) &= g \, oldsymbol{M} \widetilde{\mathbf{r}} \, rac{\ddot{u}_{\mathrm{g}}(t)}{g} \ &= \mathbf{r}^{\mathrm{g}} f_{\mathrm{g}}(t) \end{aligned}$$

multiplied by an adimensional function.

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Modal Partecipation Factor

Under the assumption of separability, we can write the i-th modal equation of motion as

$$\ddot{q}_{i} + 2\zeta_{i}\omega_{i}\dot{q}_{i} + \omega_{i}^{2}q_{i} = \begin{cases} \frac{\psi_{i}^{T}r}{M_{i}}f(t) \\ \frac{g\psi_{i}^{T}M\dot{r}}{M_{i}}f_{g}(t) \end{cases} = \Gamma_{i}f(t)$$

with the modal mass $M_i = \psi_i^T M \psi_i$.

It is apparent that the modal response amplitude depends

- ▶ on the characteristics of the time dependency of loading, f(t),
- \blacktriangleright on the so called *modal partecipation factor* Γ_i ,

$$\Gamma_{i} = \psi_{i}^{T} \mathbf{r} / M_{i}$$

$$= g \psi_{i}^{T} \mathbf{M} \hat{\mathbf{r}} / M_{i} = \psi_{i}^{T} \mathbf{r}^{g} / M_{i}$$

Note that both the definitions of modal partecipation give it the dimensions of an acceleration.

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Partecipation Factor Amplitudes

For a given loading r the modal partecipation factor Γ_i is proportional to the work done by the modal displacement $q_i \psi_i^T$ for the given loading r:

- ▶ if the mode shape and the loading shape are approximately equal (equal signs, component by component), the work (dot product) is maximized,
- ▶ if the mode shape is significantly different from the loading (different signs), there is some amount of cancellation and the value of the Γ 's will be reduced.

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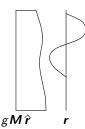
Modal Partecipation Fa Dynamic magnification

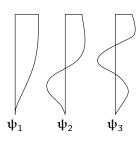
Example

Consider a shear type building, with mass distribution approximately constant over its height:

$$\hat{\pmb{r}} = \{1, 1, \dots, 1\}^T$$
 and $g \, \pmb{M} \hat{\pmb{r}} \approx mg\{1, 1, \dots, 1\}^T$.

an external loading and the first 3 eigenvectors as sketched below:





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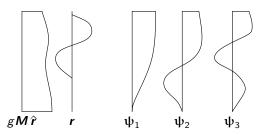
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Example, cont.



For EQ loading, Γ_1 is relatively large for the first mode, as loading components and displacements have the same sign, with respect to other Γ_i 's, where the oscillating nature of the higher eigenvectors will lead to increasing cancellation. On the other hand, consider the external loading, whose peculiar shape is similar to the 3rd mode. Γ_3 will be more relevant than Γ_i 's for lower or higher modes.

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factor factor

Modal Loads Expansion

We define the modal load contribution as

$$\mathbf{r}_i = \mathbf{M} \, \mathbf{\psi}_i \mathbf{a}_i$$

and express the load vector as a linear combination of the modal contributions

$$r = \sum_{i} \mathbf{M} \psi_{i} a_{i} = \sum_{i} r_{i}.$$

If we premultiply by $\boldsymbol{\psi}_{j}^{T}$ the above equation,

$$\psi_j^T \mathbf{r} = \psi_j^T \sum_i \mathbf{M} \psi_i a_i = \delta_{ij} M_i a_i$$

- 1. a modal load component works *only* for the displacements associated with the corresponding eigenvector,
- 2. confronting with the definition of $\Gamma_i = \psi_i^T r/M_i$, we conclude that

$$\mathbf{r}_i = \Gamma_i \mathbf{M} \mathbf{\psi}_i$$

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Equivalent Static Forces

For mode i, the equation of motion is

$$\ddot{q}_i + 2\zeta_i \omega_i \dot{q}_i + \omega_i^2 q_i = \Gamma_i f(t)$$

with $q_i = \Gamma_i D_i^{\dagger}$, we can write, to single out the dependency on the modulating function,

$$\ddot{D}_i + 2\zeta_i \omega_i \dot{D}_i + \omega_i^2 D_i = f(t)$$

The modal contribution to displacement is

$$\mathbf{x}_i = \Gamma_i \mathbf{\psi}_i D_i(t)$$

and the modal contribution to elastic forces ${\pmb f}_i = {\pmb K} \, {\pmb x}_i$ can be written (being ${\pmb K} {\pmb \psi}_i = \omega_i^2 {\pmb M} {\pmb \psi}_i$) as

$$\mathbf{f}_i = \mathbf{K} \, \mathbf{x}_i = \Gamma_i \mathbf{K} \, \mathbf{\psi}_i D_i = \mathbf{\omega}_i^2 (\Gamma_i \mathbf{M} \, \mathbf{\psi}_i) D_i = \mathbf{r}_i \mathbf{\omega}_i^2 D_i$$

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 $^{^{1\}dagger}$ D_i is the so called *pseudo-displacement* (dimensionally, D_i is the square of a time).

Equivalent Static Response

The response can be determined by superposition of the effects of these pseudo-static forces $\mathbf{f}_i = \mathbf{r}_i \omega_i^2 D_i(t)$.

If a required response quantity (be it a nodal displacement, a bending moment in a beam, the total shear force in a building storey, etc etc) is indicated by s(t), we can compute with a $static\ calculation$ (usually using the FEM model underlying the dynamic analysis) the modal static contribution s_i^{st} and write

$$s(t) = \sum s_i^{\rm st}(\omega_i^2 D_i(t)) = \sum s_i(t),$$

where the modal contribution to response $s_i(t)$ is given by

- 1. static analysis using r_i as the static load vector,
- 2. dynamic amplification using the factor $\omega_i^2 D_i(t)$.

This formulation is particularly apt to our discussion of different contributions to response components.

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Modal Contribution Factors (MCF)

Say that the static response due to r is denoted by s^{st} , then $s_i(t)$, the modal contribution to response s(t), can be written

$$s_i(t) = s_i^{st} \omega_i^2 D_i(t) = s^{st} \frac{s_i^{st}}{s^{st}} \omega_i^2 D_i(t) = \bar{s}_i s^{st} \omega_i^2 D_i(t).$$

We have introduced $\bar{s}_i = \frac{s_i^{\rm st}}{s^{\rm st}}$, the modal contribution factor, the ratio of the modal static contribution to the total static

The \bar{s}_i are dimensionless, are indipendent on the eigenvector scaling procedure and their sum is unity, $\sum \bar{s}_i = 1$.

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Maximum Response

Denote by D_{i0} the maximum absolute value (or peak) of the pseudo displacement time history,

$$D_{i0} = \max_{t} \{|D_i(t)|\}.$$

It will be

$$s_{i0} = \bar{s}_i s^{\text{st}} \omega_i^2 D_{i0}$$

Our last step, i promise: the dynamic response factor for mode i, \mathfrak{R}_{di} is defined by

$$\mathfrak{R}_{di} = \frac{D_{i0}}{D_{i0}^{\rm st}}$$

where D_{i0}^{st} is the peak value of the static pseudodisplacement

$$D_i^{\text{st}} = \frac{f(t)}{\omega_i^2}, \quad D_{i0}^{\text{st}} = \frac{f_0}{\omega_i^2}$$

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Maximum Response

With $f_0 = \max\{|f(t)|\}$ the peak p-displacement is

$$D_{i0} = \Re_{di} f_0 / \omega_i^2$$

and the peak of the modal contribution is

$$s_i(t) = \bar{s}_i s^{\text{st}} \omega_i^2 D_i(t) = f_0 s^{\text{st}} \bar{s}_i \Re_{di}$$

The first two terms are independent of the mode, the last are independent from each other and their product is the factor that influences the modal contributions.

Note that this product has the sign of \bar{s}_i , as the dynamic response factor is always positive.

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MCF's example

The following table (from Chopra, 2nd ed.) displays the \bar{s}_i and their partial sums for a shear-type, 5 floors building where all the storey masses are equal and all the storey stiffnesses are equal too. The response quantities chosen are \bar{x}_{5n} , the MCF's to the top displacement and \bar{V}_n , the MCF 's to the base shear, for two different load shapes.

	$r = \{0, 0, 0, 0, 1\}^T$				$r = \{0, 0, 0, -1, 2\}^T$			
	Top Displacement		Base Shear		Top Displacement		Base Shear	
n or J	\bar{x}_{5n}	$\sum^J \bar{x}_{5i}$	\bar{V}_n	$\sum^J \bar{V}_i$	\bar{x}_{5n}	$\sum^J \bar{x}_{5i}$	\bar{V}_n	$\sum^J \bar{V}_i$
1	0.880	0.880	1.552	1.252	0.792	0.792	1.353	1.353
2	0.087	0.967	-0.362	0.890	0.123	0.915	-0.612	0.741
3	0.024	0.991	0.159	1.048	0.055	0.970	0.043	1.172
4	0.008	0.998	-0.063	0.985	0.024	0.994	-0.242	0.930
5	0.002	1.000	0.015	1.000	0.006	1.000	0.070	1.000

Note that

- 1. for any given r, the base shear is more influenced by higher modes, and
- 2. for any given response quantity, the second, skewed r gives greater modal contributions for higher modes.

Dynamic Response Ratios

Dynamic Response Ratios are the same that we have seen for SDOF systems.

Next page, for an undamped system,

solid line, the ratio of the modal elastic force $F_{S,i} = K_i q_i \sin \omega t$ to the harmonic applied modal force, $P_i \sin \omega t$, plotted against the frequency ratio $\beta = \omega/\omega_i$.

For $\beta=0$ the ratio is 1, the applied load is fully balanced by the elastic resistance.

For fixed excitation frequency, $\beta \to 0$ for high modal frequencies.

dashed line, the ratio of the modal inertial force, $F_{I,i} = -\beta^2 F_{S,i}$ to the load.

Note that for steady-state motion the sum of the elastic and inertial force ratios is constant and equal to 1, as in

$$(F_{S,i} + F_{I,i}) \sin \omega t = P_i \sin \omega t.$$

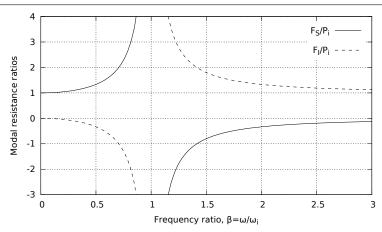
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Modal Partecipation Fact



- For a fixed excitation frequency and high modal frequencies the frequency ratio $\beta \to 0$.
- ▶ For $\beta \rightarrow 0$ the response is *quasi-static*.
- Hence, for higher modes the response is pseudo-static.
- On the contrary, for excitation frequencies high enough the lower modes respond with purely inertial forces.

Static Correction

The preceding discussion indicates that higher modes contributions to the response could be approximated with the static response, leading to the idea of a Static Correction of the dynamic response

For a system where $q_i(t) \approx \frac{p_i(t)}{K_i}$ for $i > n_{\rm dy}$, $n_{\rm dy}$ being the number of dynamically responding modes,

we can write

$$m{x}(t) pprox m{x}_{ ext{dy}}(t) + m{x}_{ ext{st}}(t) = \sum_{1}^{n_{ ext{dy}}} m{\psi}_i q_i(t) + \sum_{n_{ ext{dy}}+1}^{N} m{\psi}_i rac{m{p}_i(t)}{m{\mathcal{K}}_i}$$

where the response for each of the first n_{dy} modes can be computed as usual.

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Static Modal Components

The static modal displacement component $x_j, j > n_{dy}$ can be written

$$x_j(t) = \psi_j q_j(t) pprox rac{\psi_j \psi_j^T}{K_j} oldsymbol{
ho}(t) = oldsymbol{F}_j oldsymbol{
ho}(t)$$

The modal flexibility matrix is defined by

$$m{F}_j = rac{\psi_j \psi_j^T}{K_i}$$

and is used to compute the j-th mode static deflections due to the applied load vector.

The total displacements, the dynamic contributions and the static correction, for p(t) = r f(t), are then

$$m{x} pprox \sum_{1}^{n_{
m dy}} \psi_j q_j(t) + f(t) \sum_{n_{
m dy}+1}^{N} m{F}_j m{r}.$$

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Alternative Formulation

Our last formula for static correction is

$$m{x} pprox \sum_{1}^{n_{
m dy}} m{\psi}_j q_j(t) + f(t) \sum_{n_{
m dy}+1}^{N} m{F}_j m{r}.$$

To use the above formula all mode shapes, all modal stiffnesses and all modal flexibility matrices must be computed, undermining the efficiency of the procedure.

This problem can be obviated computing the total static displacements, $\mathbf{x}_{\text{total}}^{\text{total}} = \mathbf{K}^{-1} \mathbf{p}(t)$, and subtracting the static displacements due to the first n_{dv} modes...

$$\sum_{n_{\mathrm{dy}}}^{N} \mathbf{\textit{F}}_{j} \mathbf{\textit{r}} f(t) = \mathbf{\textit{K}}^{-1} \mathbf{\textit{r}} f(t) - \sum_{1}^{n_{\mathrm{dy}}} \mathbf{\textit{F}}_{j} \mathbf{\textit{r}} f(t) = f(t) \left(\mathbf{\textit{K}}^{-1} - \sum_{1}^{n_{\mathrm{dy}}} \mathbf{\textit{F}}_{j} \right) \mathbf{\textit{r}},$$

so that the corrected total displacements have the expression

$$m{x} pprox \sum_{1}^{n_{
m dy}} m{\psi}_i q_i(t) + f(t) \left(m{K}^{-1} - \sum_{1}^{n_{
m dy}} m{F}_i
ight) m{r},$$

Note that the *constant term* following f(t) can be computed with information already in our possess at the end of the dynamic analysis.

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Carrie Comments

Effectiveness of Static Correction

In these circumstances, few modes with static correction give results comparable to the results obtained using much more modes in a straightforward modal displacement superposition analysis.

- An high number of modes is required to account for the spatial distribution of the loading but only a few lower modes are subjected to significant dynamic amplification.
- ► Refined stress analysis is required even if the dynamic response involves only a few lower modes.

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